Page: 1/135

Date: 2005/8/23 下午 05:43:24



RECEIVED
CENTRAL FAX CENTER
AUG 2 3 2005

### North America Intellectual Property Corporation

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

FAX: 806-498-6673

e-mail:winstonhsu@naipo.com

Customer No.: 27765

Fax To: JACOBSON, TONY M

Tel.: (571) 272-7521

Art Unit: 2644

Fax: (571) 273-8300

From: Winston Hsu, Registration No. 41,526

Serial No.: 10/604,683

Attorney Docket No.: LUCP0007USA

Subject: Information Disclosure Statement (IDS)

Total Pages: 135 pages (including cover page)

Winston Hsu 2005/08/23

From: 8064986673

To: 00215712738300

Page: 2/135

Date: 2005/8/23 下午 05:43:24

## RECEIVED CENTRAL FAX CENTER

AUG 2 3 2005

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE a collection of information unless it displays a valid OMB control number Under the Paperwork Reduction Act of 1995, no persons are required to respond to Application Number 10/604,683 Filing Date 08/10/2003 TRANSMITTAL First Named Inventor **FORM** Chih-Sheng Chou Art Unit 2644 Examiner Name JACOBSON, TONY M (to be used for all correspondence after initial filing) Attorney Docket Number LUCP0007USA Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information Provisional Application After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify Terminal Disclaimer below): Extension of Time Request Request for Refund Express Abandonment Request CD. Number of CD(s) Information Disclosure Statement Landscape Table on CD Remarks Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application
Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name North America Intellectual Property Corporation Signature Wenton bars Printed name Winston Hsu Date Reg. No. 08/23/2005 41.526 CERTIFICATE OF TRANSMISSION/MAILING I hereby cartify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature **Date** 08/23/2005 Cindy Lin Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

To: 00215712738300

Page: 3/135

Date: 2005/8/23 下午 05:43:24

Under the Pane	work Reduction Act of	1995 no persons are n	enuined to r	U.S. Pater	nt and Tra	demark Offic	; U.S. DEPAF	/31/2008, Of RTMENT OF	SB/17 (12-04) MB 0651-0032 COMMERCE ontrol number
Under the Penerwirk Reduction Act of 1995, no persons are required to respond to a collection of information unless it declares a valid OMB control number.  Effective on 12/08/2004.  Complete if Known									
Fees pursuant to the Consolidated Appropriations Act. 2005 (H.R. 4818).			Application Number 10/604,683		683	<del>.,,</del>			
I FEE	ETRAN	ISMITT	AL	Filing Date		08/10/2	003	~~~ <u>~~~</u>	
	For FY	2005		First Named Inventor Chih-S		Chih-Sh	Sheng Chou		
ļ			<del></del>	Examiner Nam		JACOB!	ON, TON	IY M	
Applicant of	deims small entity s	tatus. See 37 CFR 1	1.27	Art Unit 2644			····		
TOTAL AMOU!	IT OF PAYMENT	(\$) 0.00		Attorney Docke	et No.	LUCP0007USA			
METHOD OF	PAYMENT (chec	k all that apply)							
Check	Credit Card	Money Order	No	ne Other (	nlease ide	mifv):			
		count Number 50-3		Deposit A			America Intel	ectual Pro	perty Corp.
		osit account, the Dire		reby authorized to	o: (check	all that app	ty)		
<b>✓</b> CI	narge fee(s) indicate	ad below		Chan	ge fee(s)	Indicated b	elow, excep	t for the fil	ing fee
		fee(s) or underpaym	nents of fe	e(s) Cred	it any ove	erpayments			
WARNING: Inform	ider 37 CFR 1.16 au ation on this form mutherization on PTO-	ay become public. Cre	dit card In	formation should r	not be inc	luded on thi	s form, Provid	de credit ca	rd .
FEE CALCUL									
1. BASIC FILE	NG, SEARCH, A	ND EXAMINATION	I FEES						
	FILI	NG FEES	SEAF	CH FEES	EXAN	MINATION			
Application	Type Fee (	Small Entity  S) Fee (\$)	Fee (\$	Small Entity 1 Fee (\$)	Fee	(\$) Fee		Fees Pa	d (\$)
Utility	300	150	500	250	200				
Design	200	100	100	50	130	65			
Plant	200	100	300	150	160	80			
Reissue	300	150	500	250	600	300			
Provisional	200	100	0	0	0				
2. EXCESS C	LAIM FEES			-				9	mall Entity
Fee Description			20		تمنعم عط			Fee (\$) 50	Fee (\$) 25
Each claim ove	r 20 or, for Keiss mt claim over 3 o	ues, each claim ov r, for Reissues, ea	er zv and ch inden	i more usaa iii u endent claim m	ore than	nat patent	rinal naten		100
Multiple depen		1, 101 /10135403, 04	on maop		ore mai		Print bases.	360	180
. Total Claims	Extra Cl	alms Fee (\$)	Fee	Pald (\$)	Multip	le Depend	nt Claims		
	or HP =	XX d for, if greater than 20	_ =		Ees	(\$)	Fee Paid	<u>(\$)</u>	
Indep. Claims	Extra Cl		Fee J	aid (\$)				—	
3 or HP = x =									
HP ≈ highest number of independent claims paid for, if greater than 3									
3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity)									
for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).									
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (5) Fee Paid (\$)									
4. OTHER FEE(S)  Non-English Specification, \$130 fee (no small entity discount)									
Other: submission of Information Disclosure Statement 0.00									
Caler: sub		Jiowood Via(E)							
SUBMITTED BY									
Signature	Win	to be well	<u>.                                    </u>	Registration No. 'Attorney/Agent)	41,526	3	elephone 3	302-729-	1562
Name (Print/Type)	Winston Hs					- 10	Pate 08/2	3/2005	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gethering, prepering, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Date: 2005/8/23 下午 05:43:25 To: 00215712738300 Page: 4/135 From: 8064986673

PTC/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0851-0031

Attorney Docket Number LUCP0007USA

Under the Paperwork Reduction Act of 1995, no persons are required		demark Office; U.S. DEPARTMENT OF COMMERCE metion unless it contains a valid OMB control number.			
Substitute for form 1449/PTO	Complete if Known				
Sabahata igi isini 144a) 14	Application Number	10/604,683			
INCORMATION DISCLOSURE	Filing Date	08/10/2003			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor	Chih-Sheng Chou			
	Art Unit	2644			
(Use as many sheets as necessary)	Examiner Name	JACOBSON TONY M			

Sheet 1

			U. S. PATENT D			
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	ļ	US-				
	j	US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-	1			
		U8-				
		US-				
		บร-				
		US-				
		US-	1			
		Us-			• • • • • • • • • • • • • • • • • • • •	
		US-				
		US-				
		US-	1			
		US-	<del>                                     </del>		<del></del>	

FOREIGN PATENT DOCUMENTS							
Pages, Columns, Lines, Where Relevant Passages							
nt Figures Appear	T*						
	+						
	+						
	ļ						
	-						
	-						
-							

Examiner		Date	
Signature	·	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to epplicant. Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspio.gov">www.uspio.gov</a> or MPEP 901.94. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the entign of the Emperor must precede the estain number of the patent document. \*\*Aind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here if English language

the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Appropriate sto place a check mark need a chipush engaged translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burdon, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

From: 8064986673 To: 00215712738300 Page: 5/135 Date: 2005/8/23 下午 05:43:25

# RECEIVED CENTRAL FAX CENTER

### AUG 2 3 2005

PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicants: Chih-Sheng Chou, Chat-Chin Quek,

Ho-Tsung Chang, Hsu-Hsiang Tseng

Filing Date: 08/10/2003

GRP Art Unit: 2644

Examiner: JACOBSON, TONY M

10 APPL No.: 10/604,683

ATTY.Docket.No.: LUCP0007USA

Confirm. No.: 1682

Title: AUDIO AND DATA MULTIPLEXED WIRELESS AUDIO

15 SYSTEM

To: The Commissioner of Patents

P.O. BOX 1450

Alexandria, VA 22313-1450

20

30

Subject: Information disclosure statement Under 37C.F.R.\$1.56.

25 Dear Sir:

This is an Information Disclosure Statement in accordance with the duty to disclose information material to patentability under 37 C.F.R. \$1.56. The applicants wish to make of record each of the documents listed on the accompanying form PTO/SB/08. It is respectfully requested that the Examiner initials each

From: 8064986673 To: 00215712738300 Page: 6/135 Date: 2005/8/23 下午 05:43:26

of the cited references on the form and that it be made of record in the application and that a copy of the initialed form be sent to the applicants with the next communication from the Examiner.

5

15

Since the IDS is filed before the mailing date of a first Office action on the merits, consideration of the information disclosure statement is hereby requested according to 37 C.F.R. \$1.97(b). The items of information contained in the information disclosure statement were cited in the communication from the Intellectual Property Office of Japan for a counterpart Japanese application on May 31, 2005. Applicants sincerely hope that the examiner can consider the items contained in the information disclosure statement.

According to the requirement set forth in 37 CFR \$1.98 and MPEP 609, applicants are submitting a copy of the items contained in the IDS: Japanese Publication #JP 2001-177507 (published on June 29, 2001); and Japanese Publication #JP05-300102 (published on November 12, 1993).

25 A translation of the abstract of Japanese publication #JP 2001-177507 is as follows: A system transmits, receives, restores and reproduces the digitized sample of an analog signal. The system consists of a transmission subsystem which transmits the digitized sample through a communication medium and transfers the transmitted digitized sample having various sampling rates and a receiving system which

From: 8064986673 To: 00215712738300 Page: 7/135 Date: 2005/8/23 下午 05:43:26

receives and reproduces the transmitted digitized sample. The transmission subsystem receives the digitized sample having a variable sampling rate from an external signal source and converts the digitized sample having the variable sampling rate into a digitized sample accompanying one fixed rate. The digitized sample generates an error correction code to make it possible to correct all errors. A modulated carrier signal is demodulated to extract a group of the digitized samples and the error correction code.

A translation of the abstract of Japanese Publication #JP05-300102 is as follows: To enable digital transmission with high tone quality by transmitting digital audio signals after converting . 15 them to one-bit signals. This serial transmitter for audio signals to transmit digital audio signals as serial signals is provided with over-sampling circuit 3 on the transmission side, and audio signals are serially transmitted after being 20 made into one-bit signals with over-sampling and noise shaping at a noise shaper 5. On the other hand, a one-bit over-sampling A/D converter 1 is provided on the transmission side, and analog audio signals are serially transmitted after being made into one-bit 25 signals at the one-bit over-sampling A/D converter. Therefore, high-definition digital transmission is enabled with one-bit serial transmission and on the reception side, signals processing, and any D/A converter are unnecessary. 30

From: 8064986673 To: 00215712738300 Page: 8/135 Date: 2005/8/23 下午 05:43:26

Respectfully submitted,

Winten Han

Date: August 23, 2005

Winston Hsu, Patent Agent No. 41,526

5 P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562 Facsimile: 806-498-6673

e-mail: winstonhsu@naipo.com

Note: Please leave a message in my voice mail if you need to talk to me. The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.

15